

Discussion on the Participation and Trends of AI in Novel Creation

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Abstract: As the application of Artificial Intelligence (AI) in the field of novel writing is becoming increasingly profound, AI-assisted literary creation has emerged as a significant area of research from its initial use in simple text generation to its current deep involvement. The historical evolution of AI's participation in novel writing is reviewed in this paper, and its applications in providing inspiration, organizing writing materials, developing plots, and optimizing text are explored. While commendable performance is exhibited by AI-generated texts in terms of logical coherence and style imitation, the truly transformative creativity that characterizes human authors is found to be lacking, thus making the replacement of the unique thinking and emotional experiences of human writers by AI difficult. In the future, a shift towards a "human-AI collaboration" model is likely to be seen in the role of AI in novel writing, where assistance is provided by AI while human authors engage in in-depth editing and creation. The crucial research focus in AI-assisted literary creation will be on how to balance AI technology with human creativity.

Keywords: AI, novel writing, literary quality, originality

1. Introduction

The integration of Artificial Intelligence (AI) into the practice of novel writing has been progressively realized through advancements in text generation. From the creation of ELIZA by Weizenbaum in the 1960s [1], to the emergence of Racter in the 1980s [2], and the advent of GPT-3 and GPT-4 in the 21st century [3], significant strides in language processing capabilities have been made by AI. Particularly, advancements in Natural Language Processing (NLP) based on deep learning and neural networks have empowered AI to demonstrate increasingly robust abilities in the generation of coherent text, the imitation of styles, and the construction of narrative structures. In recent years, a proliferation of AI-generated works has been observed, including 1 the Road (2018) by Ross Goodwin[4], Pharmako-AI (2020) co-authored by K. Allado-McDowell and GPT-3 [5], and Death of an Author (2023), a work that is 95% generated by AI[6]. These works have showcased the potential of AI in novel writing and have given rise to widespread discussions regarding AI's creative capabilities and literary value. Consequently, AI is increasingly being acknowledged as a novel tool for creation, and it is gradually becoming a significant topic within literary studies.

The application models, technological advancements, literary value, and future trends of Artificial Intelligence (AI) in novel writing are explored in this article. To start with, the historical development of AI's involvement in literary creation is reviewed, with the evolution being traced from the earliest programs, such as ELIZA[1] and Racter[2], to contemporary systems like GPT-4[3] and DeepMind's Gopher[7]. Through this historical overview, the progress achieved in AI-driven text generation technologies is highlighted. Then, the current applications of AI in novel writing are analyzed, including how AI is used for inspiring creativity, developing plots, refining texts, mimicking styles, and facilitating collaborative writing through AI-driven platforms.

In addition, a case analysis of representative works generated by AI is conducted in this paper to evaluate the strengths and weaknesses demonstrated by AI in the creative process, and to explore the impact exerted on traditional literary creation. The research results indicate that significant progress has been made by AI in the coherence and readability of text generation, while challenges are still faced in terms of creativity, emotional expression, and the integrity of long-form narrative structures. Finally, the future role transformation of AI in literary creation is discussed in the paper, with the speculation that the complete replacement of human writers by AI is unlikely, but AI will serve as a powerful auxiliary tool, thereby offering new possibilities for literary creation.

2. The Basic Background of AI for Novel Creation

2.1 AI Participating in Novel Creation History

The earliest attempts at involving AI in literary creation are traced back to the 1960s. In 1966, ELIZA was developed by Joseph Weizenbaum, which, despite being merely a pattern-matching-based dialogue system, sparked interest in the idea of

literary writing being simulated by machines[1]. Subsequently, Racter, developed in 1973, is considered one of the first AIs capable of generating text independently, although the quality of its text was limited [2].

Upon the entry into the 1980s and 1990s, advancements in computational linguistics and text generation algorithms laid the groundwork for AI's participation in creative writing. In 1990, research conducted by Pennebaker on the psychological impact of computer-aided writing systems[8] indicated that AI had already developed a certain level of capability in text generation.

In the early 21st century, AI's role in the creative field became increasingly significant with the progress of statistical natural language processing (NLP) technologies. For instance, the development of OpenAI's GPT series of models marked a new stage in the application of AI in novel writing[3].

In 2016, coherent text was capable of being generated by the LSTM language model proposed by Sundermeyer et al.[9]. This method was later superseded by the Transformer architecture, through which AI-generated text was made more coherent and readable. Particularly, with the launch of GPT-3, AI's ability to generate novel fragments was elevated to unprecedented levels[10].

Several projects have attempted to employ AI for the generation of complete novels over the years. For example, in 2019, Chinese writer Chen Qiufan's work "The State of Trance" completed with the help of AI was awarded the Best Short Story of the Year.[11]. In 2021, an experiment conducted in Japan demonstrated that AI could imitate the style of specific authors for the generation of short stories [12].

What's more, the capability of AI to generate literary texts has been further advanced by the Gopher language model, proposed by Google's DeepMind research team[7]. This model is capable of grasping more complex contexts and imitating various writing styles, thereby making AI's participation in creative writing more feasible.

2.2 Commonly Used AI Software

The landscape of AI novel-writing software is continually evolving, ranging from the GPT series to specialized writing assistants like Sudowrite, NovelAI, and AI Dungeon, all of which are providing writers with innovative ways to craft their stories.

Nowadays, GPT-3 and GPT-4 stand out as some of the most popular AI language models available. These models possess the capability to generate coherent novel texts based on prompts and can mimic the styles of various authors. In addition, ChatGPT, developed by OpenAI, is extensively used for collaborative writing and brainstorming to spark creativity.

Sudowrite, an AI tool specifically designed for writers, leverages GPT-3 to generate text and offers features such as plot expansion, polishing, and stylistic suggestions. Many science fiction and fantasy writers employ this tool to enhance the quality of their narratives or to overcome writer's block.

Jasper AI, formerly known as Jarvis AI, initially focused on generating marketing copy but has since found widespread use in novel writing as well. It assists writers by drafting scenes, refining dialogue, and adjusting the tone to meet the user's requirements.

NovelAI is an AI software crafted specifically for novel writing, enabling users to input initial settings and then generating story developments that are contextually appropriate through AI. The software has gained popularity for its robust customization capabilities and the consistency it maintains with character development.

As an interactive narrative game powered by AI, AI Dungeon enables users to create open-ended storylines through AI generation. Although primarily used for gaming experiences, its narrative generation abilities are also harnessed by some writers as a tool for creative inspiration.

2.3 Corresponding Representative Novels

In 2018, the novel 1 the Road, conceived with the help of AI by Ross Goodwin, marked a pioneering effort in AI-assisted long-form fiction [4]. This work was produced by an AI system outfitted with a camera and GPS, which crafted the narrative based on data collected during a trip, drawing inspiration from the style of Jack Kerouac's On the Road. Despite its disjointed plot, the experiment underscored AI's potential in automated writing [13].

Fast forward to 2020, and Pharmako-AI, a collaborative project between K Allado-McDowell and OpenAI's GPT-3, exemplified the burgeoning role of AI in literary endeavors [5]. Presented as a dialogue between human and machine, the book explored profound themes of philosophy, consciousness, and technology. The remarkable text generation abilities of GPT-3 were vividly illustrated, establishing it as a landmark case of AI's contribution to literature.

By 2023, writer Stephen Marche utilized AI to assist in the creation of an experimental novel titled Death of an Author, where approximately 95% of the text was generated by AI. Although the language, sentence structure, and overall framework of the book were relatively mature, it was generally considered to lack the author's unique style, emotional depth, and

3. The Direction of AI Participating in Novel Creation

3.1 AI Participating in the Provision of Inspiration and Ideas

Al's role in the realm of literary inspiration and conceptualization is multifaceted. One of its key functions is the generation of novel story ideas through the analysis of extensive textual data. GPT series models, for example, can scrutinize existing literary pieces and then produce imaginative short stories or plot summaries[14]. Numerous writers utilize these AI tools to investigate different creative directions, frequently employing the AI-generated content as a foundation for their own narratives. In addition, AI acts as a catalyst for overcoming writer's block. When authors find themselves stuck, AI can provide keywords, themes, or random story fragments to reignite their creativity[15].

Moreover, AI's text analysis capabilities enable it to discern the typical components of various novel genres. By integrating this understanding with the writer's unique style, AI can generate story outlines that resonate with the author's personal approach. Certain AI models even have the capacity to emulate the writing styles of famous authors, thus allowing creators to draw inspiration and develop new works. Beyond expanding a writer's creative repertoire, this also fosters the exploration of diverse narrative frameworks.

AI's capabilities extend beyond mere inspiration; it can also offer structured assistance during the crucial phase of novel conceptualization. Advanced AI tools possess the ability to analyze vast amounts of data from best-selling novels, discern common plot patterns across various genres, and generate story frameworks that are tailored to market demands[16]. For example, AI can identify the typical narrative structures prevalent in genres such as science fiction, fantasy, or detective fiction, and provide authors with a robust story outline that fits these patterns.

When it comes to world-building, AI demonstrates its prowess by generating comprehensive background information based on existing settings. This includes detailed elements such as historical timelines, geographical features, cultural nuances, and social structures[17]. For instance, some AI tools assist science fiction or fantasy writers in designing intricate worlds, thereby adding depth and logic to their creations. Additionally, AI is able to offer assistance in character development. Based on the analysis on the characteristics of characters in classic literature, AI is capable of recommending character profiles with specific personality traits and help writers refine the network of relationships among their cast.

3.2 AI Participating in Providing Writing Materials

Traditionally, novel creation has depended on the author's knowledge reserve, research capabilities, and inspiration. However, with the advent of AI, writers are now provided with new methods for data acquisition, allowing for the rapid collection and organization of the background information, historical materials, geographical data, and language expression suggestions required for writing[18].

The role of AI in the collection of writing materials is primarily manifested in two aspects: the integration and refinement of textual data, and the automatic retrieval and screening of information.

Firstly, textual data can be analyzed and integrated through the use of Natural Language Processing (NLP) technology by AI, thereby providing writers with precise background information[19]. For instance, historical fiction writers can quickly obtain information about a specific historical period, including political, economic, and cultural background, through the use of AI tools, thus avoiding the inefficiency associated with information retrieval. AI-driven research tools, such as the intelligent recommendation system of Google Scholar, can provide relevant literature based on the writer's research topic, thereby reducing the time writers spend on collecting materials[20].

Secondly, the content most relevant to the theme of creation can be screened out by AI through the use of semantic analysis technology, helping writers avoid information redundancy. For example, AI tools like SciSpace and Elicit can summarize key literature in line with the author's needs and generate brief abstracts, making it easier for writers to quickly obtain the necessary information[21]. Additionally, AI is capable of assisting in the organization of data from different sources, with the core information from multiple literature being integrated to provide more systematic background materials.

3.3 AI Participating in Plot Structure and Text Polishing

Large-scale corpora can be analyzed by AI to summarize the narrative patterns of different types of novels, and based on this, a structured narrative framework can be provided to writers[22]. For instance, the GPT model by OpenAI is capable of analyzing the narrative patterns of best-selling novels and generating story outlines that possess similar structures. For novice writers, this supportive function can assist them in mastering narrative techniques more rapidly and in avoiding structural confusion.

Secondly, different narrative suggestions can be provided by AI based on the writer's writing style. Some AI writing tools, such as Sudowrite and NovelAI, can identify the different characteristics of first-person, third-person, or omniscient perspectives and, when appropriate, suggestions for conversion can be provided[23]. Furthermore, emotional tones can be analyzed by AI to make the narrative more consistent with the work's thematic atmosphere. For example, when writing a suspense novel, a more tense pace and arrangement of suspense can be suggested by AI to enhance the narrative tension.

The application of AI in text polishing is mainly reflected in three aspects: the optimization of grammar and sentence structure, the adjustment of the writing style, and the enhancement of the expressiveness of the text.

Firstly, AI can be utilized as an intelligent grammar-checking tool, with grammar and sentence structure being optimized through its assistance. For example, AI tools like Grammarly and DeepL Write are capable of automatically identifying grammatical errors and providing suggestions for revision that are more aligned with grammatical standards[24]. This is particularly crucial for writers who are not native English speakers, as AI can aid them in ensuring the language quality of their text.

Secondly, adjustments can be provided by AI based on various writing styles. Certain AI text analysis tools are able to identify the stylistic characteristics of a specific writer and provide corresponding suggestions for revision to make the text more consistent with the target style[25]. For instance, AI can assist writers in imitating the language style of classic authors or adjusting the writing style to suit different novel genres, such as science fiction, romance, or thriller.

Moreover, the expressiveness of the text can be enhanced by AI, making the language more vivid. For example, some AI tools can identify repetitive expressions within the text and offer more diverse vocabulary options to increase linguistic diversity[26]. Additionally, AI can identify the emotional tone of the text through contextual analysis and offer appropriate suggestions for polishing to make the text more compelling and impactful.

4. Prospects and Evaluation of AI Participating in Novel Creation

4.1 Trends of AI Participating in Creation

The application of AI in literary creation is becoming increasingly widespread with the continuous advancement of artificial intelligence (AI) technology. From GPT-3 to GPT-4, and to more advanced AI language models, the role of AI in novel writing is being transformed from an auxiliary tool to a potential collaborator[27].

Intelligent and Personalized Development of AI in Novel Writing: At present, texts that are structurally complete and logically clear are already being generated by AI language models, and significant progress has been made by these models in imitating the styles of specific writers[28]. In the future, the intelligence level of AI will be further enhanced, allowing more complex narrative structures to be understood and even readers' preferences to be predicted. For example, customized novels may be generated by AI based on readers' reading habits, providing each reader with a unique reading experience.

Furthermore, the personalized writing capabilities of AI will be strengthened. Through deep learning and user feedback mechanisms, the writing style of AI can be gradually adjusted to better meet the creative needs of writers[29]. For instance, some AI-assisted writing platforms have already begun to offer personalized story suggestion features, allowing writers to engage in secondary creation in line with the content generated by AI.

Application of AI in Collaborative Writing: A significant trend for AI in novel creation in the future is the establishment of a closer collaborative relationship with human writers. Currently, auxiliary functions such as plot ideation, dialogue optimization, and language polishing are already being provided by AI. In the future, AI may go a step further, not only being used as an "assistant" but also becoming a "co-creator" with writers. This means that real-time interaction with AI can be engaged in by writers, exploring the possibilities of story development together[30]. For example, multiple plot branch suggestions can be provided by AI during the writing process, and the text content can be adjusted based on the writer's feedback[27]. Research has shown that higher innovation is often exhibited by novels co-created with AI, as AI can break the limitations of human thinking and propose unexpected story settings[28].

AI-Driven Interactive Fiction and Immersive Reading Experiences: In the future, the development of interactive fiction is likely to be driven by AI, allowing readers to actively participate in the progression of the story[30]. For instance, the plot direction of AI-generated novels may be changed in real-time based on the reader's choices, creating a personalized reading experience. This trend will make novel creation more dynamic, transforming readers from passive recipients to active participants in the story. Additionally, the integration of AI with virtual reality (VR) and augmented reality (AR) technologies may be achieved to create more immersive literary experiences. For example, visual and audio elements may be incorporated into AI-generated novels, making readers feel as if they are inside the story world[31]. This innovative model has the potential to change traditional reading methods of novels, bringing literary creation closer to the development of modern technology.

4.2 Evaluation from the Perspective of Literary Value

From the perspective of literary value, it is believed by some researchers that although AI-generated texts have a certain degree of readability technically, genuine creativity and emotional depth are lacking in them[32]. Margaret Atwood, the renowned Canadian writer, emphasizes that the creativity and unique perspective of human writers cannot be replaced by AI, despite its technical advancements.

The core of literary creation lies in creativity, which involves how stories are conceived, characters are shaped, and ideas are expressed in a unique way by writers. The text generation of AI is on the basis of large-scale corpus training, and it relies on statistical patterns and the imitation of existing works, rather than true innovation. Boden points out that creativity can be divided into "combinational creativity", "exploratory creativity", and "transformational creativity" [33]. Currently, the first two types are mainly exhibited by AI, which are based on the recombination and exploration of existing content, but genuine transformational creativity is lacking. Therefore, while AI can generate fluent narrative texts, it still has significant limitations in proposing entirely new literary concepts or breaking the existing literary framework.

Furthermore, the value of literary works is largely dependent on the expression of human emotions, an area where limitations are still present in AI. Kazuo Ishiguro, the Nobel laureate in Literature, believes that while AI may become very adept at manipulating emotions in the future, the true understanding of the complexity of human emotions is still struggled with by it[34]. AI-generated texts often lack genuine emotional depth and are more akin to "calculated emotions". For instance, sentences conveying sadness or joy can be generated by AI, but the true experience of these emotions is not possible for it, which makes it insufficient in creating characters with depth and authentic emotions.

A wealth of mature narrative techniques, rich rhetorical devices, well-structured plot design, and precise and accurate vocabulary are required by novel writing, all of which are skills that AI has already mastered and continues to refine. However, the core of novel writing is the narration of stories, and the soul of a story lies in the current, ongoing experiences of humans, which cannot be generated by AI simply by rearranging existing data in its database. In other words, the contribution of AI to the literary value of novel writing lies in the continuous assistance it provides to authors in optimizing the standardization and logic of the text, as well as enriching the variety of rhetorical expressions. The originality that arises from real-life experiences, which cannot be generated from a database, is difficult to be replaced by AI.

5. Conclusion

The application models, technological development, literary value, and future trends of artificial intelligence (AI) in novel writing are explored in this study. The history of AI's involvement in novel creation is reviewed, and the capabilities of AI in text generation, style imitation, plot construction, and polishing optimization are analyzed. The advantages and limitations of AI in literary creation are also evaluated by examining representative works generated by AI. It is indicated by the research that high readability and logicality are demonstrated by AI language models in short stories, specific style imitation, and language optimization. However, genuine creativity and emotional expression are still struggled to be achieved by them.

In spite of excellent performance being demonstrated by AI in assisting with writing, the unique aesthetic sense, personalized expression, and understanding of complex emotions possessed by human writers are lacked by it. Large-scale data training is primarily relied upon by AI, and the recombination of existing literary patterns, rather than original, transformative creativity, is often based on by its generated texts. Therefore, AI is more suitable as a tool for human writers than as an independent creator in novel writing.

Looking ahead, the role of AI in novel writing may be further evolved towards a "human-AI collaboration" model, where the tasks of inspiration stimulation, text optimization, and assisting with conceptualization are primarily taken on by AI, while the overall narrative structure and in-depth creation are responsible for by human writers. Overall, the potential for AI to empower literary creation is immense, but the irreplaceable core of literary art remains human creativity.

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